

# **HEALTH ANNUAL STATEMENT**

FOR THE YEAR ENDED DECEMBER 31, 2010 OF THE CONDITION AND AFFAIRS OF THE

## HealthLink HMO, Inc.

NAIC G	(Current) (Prior)	y Gode <u>90473</u> Employer 3 12	Humber 40 foreign
Organized under the Laws of		, State of Domicile or Port of Ent	ry <u>Missouri</u>
Country of Domicile	United S	States of America	
Licensed as business type:	Health Maint	tenance Organization	
Is HMO Federally Qualified? Y	es[]No[X]		
Incorporated/Organized	07/29/1992	Commenced Business	01/14/1993
Statutory Home Office	1831 Chestnut Street		St. Louis , MO 63103-2275
-	(Street and Number)	(Cit	y or Town, State and Zip Code)
Main Administrative Office		Chestnut Street	
		t and Number)	314-923-4444
	St. Louis , MO 63103-2275 or Town, State and Zip Code)	,(Ar	ea Code) (Telephone Number)
Mail Address	6775 W. Washington Street		Milwaukee , WI 53214
Mail Address	(Street and Number or P.O. Box)	, (Cit	y or Town, State and Zip Code)
Primary Location of Books and	Records 6775 W.	Washington Street	
Times, Essential Section and	(Stree	t and Number)	414 450 6922
(City	Milwaukee , WI 53214 or Town, State and Zip Code)	,(Ar	414-459-6833 ea Code) (Telephone Number)
,		healthlink.com	
Internet Website Address		neath mix.com	
Statutory Statement Contact	Brenda J Buss (Name)		414-459-6833 (Area Code) (Telephone Number)
1	brenda.buss@bcbswi.com		414-459-6229
	(E-mail Address)		(FAX Number)
	0	FFICERS	
President	Dennis William Casey	Treasurer	
Secretary	Kathleen Susan Kiefer	Assistant Secretary	Karen Elizabeth Geiger #
	1	OTHER	
	DIRECTOF	RS OR TRUSTEES	
Wayne Sco	t DeVeydt Denn	is William Casey	Catherine Irene Kelaghan
State of	Indiana SS:		
County of	Hendricks		
all of the herein described assestatement, together with related condition and affairs of the said in accordance with the NAIC Airules or regulations require dispensely. Furthermore, the	ets were the absolute property of the said reporting exhibits, schedules and explanations therein contains reporting entity as of the reporting period stated abovenual Statement Instructions and Accounting Practice fferences in reporting not related to accounting practices of this attestation by the described officers also	entity, free and clear from any liens ad, annexed or referred to, is a full ar e, and of its income and deductions as and Procedures manual except to actices and procedures, according o includes the related corresponding	orting entity, and that on the reporting period stated above, or claims thereon, except as herein stated, and that this and true statement of all the assets and liabilities and of the therefrom for the period ended, and have been completed the extent that: (1) state law may differ; or, (2) that state to the best of their information, knowledge and belief, a electronic filing with the NAIC, when required, that is an be requested by various regulators in lieu of or in addition
1	- 3 Whom	en Susan Kiefer	Robert David Kretschmer
Dennis William C President		Secretary	Treasurer
Subscribed and sworn to before day of	me this FIBRUARY 2011	a. Is this an original filing b. If no, 1. State the amendme 2. Date filed	nt number

THERESA A. HILL Notary Public- Seal State of Indiana My Commission Expires Jun 5, 2016

# **SUMMARY INVESTMENT SCHEDULE**

		Gross Investr	nent Holdings	Admitted Assets a Annual S	
	Investment Categories	1 Amount	2 Percentage	3 Amount	4 Percentage
1.	Bonds:				
	1.1 U.S. treasury securities	20,982,298	101.167	20,982,298	101.167
	1.2 U.S. government agency obligations (excluding mortgage-backed securities):				
	1.21 Issued by U.S. government agencies				0.000
	1.22 Issued by U.S. government sponsored agencies	2,494,903	12.029	2,494,903	12.029
	1.3 Non-U.S. government (including Canada, excluding mortgaged-backed securities)	,	0.000		0.000
	1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S. :				
	1.41 States, territories and possessions general obligations		0.000		0.000
	1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations		0.000		0.00
	1.43 Revenue and assessment obligations				4.58
	1.44 Industrial development and similar obligations		0.000	, 	0.00
	1.5 Mortgage-backed securities (includes residential and commercial MBS):				
	1.51 Pass-through securities:				
	1.511 Issued or guaranteed by GNMA				0.00
	1.512 Issued or guaranteed by FNMA and FHLMC				0.00
	1.513 All other		0.000		0.00
	1.52 CMOs and REMICs:				
	1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA		0.000		0.00
	1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521		0.000		0.00
	1.523 All other		0.000		0.00
2.	Other debt and other fixed income securities (excluding short-term):				
	2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid				
	securities)				0.00
	2.2 Unaffiliated non-U.S. securities (including Canada)				0.00
0	2.3 Affiliated securities		0.000		0.00
3.	Equity interests: 3.1 Investments in mutual funds		0.000		0.00
	3.2 Preferred stocks:		0.000		0.00
	3.21 Affiliated		0.000		0.00
	3.22 Unaffiliated		0.000		0.00
	3.3 Publicly traded equity securities (excluding preferred stocks):				
	3.31 Affiliated		0.000		0.00
	3.32 Unaffiliated		0.000		0.00
	3.4 Other equity securities:				
	3.41 Affiliated		0.000		0.00
	3.42 Unaffiliated		0.000		0.00
	3.5 Other equity interests including tangible personal property under lease:				
	3.51 Affiliated				0.00
	3.52 Unaffiliated		0.000		0.00
4.	Mortgage loans:		0.000		0.00
	4.1 Construction and land development				0.00
	4.3 Single family residential properties				0.00
	4.4 Multifamily residential properties				
	4.5 Commercial loans				0.00
	4.6 Mezzanine real estate loans		0.000		0.00
5.	Real estate investments:				
-	5.1 Property occupied by company		0.000	0	0.00
	5.2 Property held for production of income (including				
	\$ of property acquired in satisfaction of				
	debt)		0.000	0	0.00
	5.3 Property held for sale (including \$				
	property acquired in satisfaction of debt)		0.000	0	0.00
6.	Contract loans			0	0.00
7.	Receivables for securities			0	0.00
8.	Cash, cash equivalents and short-term investments	(3,687,573)		(3,687,573)	
9.	Other invested assets		0.000		0.00
10.	Total invested assets	20,740,237	100.000	20,740,237	100.00

# Schedule A - Verification - Real Estate NONE

Schedule B - Verification - Mortgage Loans NONE

### **SCHEDULE BA - VERIFICATION BETWEEN YEARS**

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year
2.	Cost of acquired:
	2.1 Actual cost at time of acquisition (Part 2, Column 8)
	2.2 Additional investment made after acquisition (Part 2, Column 9)
3.	Capitalized deferred interest and other:
	3.1 Totals, Part 1, Column 16
	3.2 Totals, Part 3, Column 12
4.	Accrual of discount
5.	Unrealized valuation increase (decrease):
	5.1 Totals, Part 1, Column 13
	5.2 Totals, Part 3, Column 9
6.	Total gain (loss) on disposals, Part 3, Column 19
7.	Deduct amounts received on disposals, Part 3, Column 16
8.	Deduct amortization of premium and depreciation
9.	Total foreign exchange change in book/adjusted carrying value:
	9.1 Totals, Part 1, Column 17
	9.2 Totals, Part 3, Column 14
10.	Deduct current year's other than temporary impairment recognized:
	10.1 Totals, Part 1, Column 15
	10.2 Totals, Part 3, Column 11
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)
12.	Deduct total nonadmitted amounts
13.	Statement value at end of current period (Line 11 minus Line 12)

## **SCHEDULE D - VERIFICATION BETWEEN YEARS**

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year	23,647,138
2.	Cost of bonds and stocks acquired, Part 3, Column 7	
3.	Accrual of discount	
4.	Unrealized valuation increase (decrease):	
	4.1. Part 1, Column 120	
	4.2. Part 2, Section 1, Column 15	
	4.3. Part 2, Section 2, Column 13	
	4.4. Part 4, Column 110	0
5.	Total gain (loss) on disposals, Part 4, Column 19	0
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7	1,882,000
7.	Deduct amortization of premium	10,539
8.	Total foreign exchange change in book/adjusted carrying value:	
	8.1. Part 1, Column 150	
	8.2. Part 2, Section 1, Column 19	
	8.3. Part 2, Section 2, Column 16	
	8.4. Part 4, Column 150	0
9.	Deduct current year's other than temporary impairment recognized:	
	9.1. Part 1, Column 140	
	9.2. Part 2, Section 1, Column 17	
	9.3. Part 2, Section 2, Column 14	
	9.4. Part 4, Column 130	0
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	24,427,809
11.	Deduct total nonadmitted amounts	0
12.	Statement value at end of current period (Line 10 minus Line 11)	24,427,809

### **SCHEDULE D - SUMMARY BY COUNTRY**

Long-Term Bonds and Stocks OWNED December 31 of Current Year

		Long-Term Bonds and Stocks	OMNED December 2	of Guiterit Tear		
			1	2	3	4
ſ	escriptio	nn	Book/Adjusted Carrying Value	Fair Value	Actual Cost	Par Value of Bonds
BONDS	1.	United States		24.876.342	23,463,199	23,520,000
Governments	2.	Canada		0	0	0
(Including all obligations guaranteed	3.	Other Countries	0	0	0	0
by governments)	4.	Totals	23,477,201	24,876,342	23,463,199	23.520.000
U.S. States, Territories and Possessions		Totalo	20,, 20.	2.,0.0,0.2	20, 100, 100	
(Direct and guaranteed)	5.	Totals	0	0	0	0
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6.	Totals	0	0	0	0
U.S. Special revenue and special assessment obligations and all non- guaranteed obligations of agencies and authorities of governments and						
their political subdivisions	7.	Totals	950,609	977,832	961,248	870,000
	8.	United States		0	0	0
Industrial and Miscellaneous, Credit Tenant Loans and Hybrid Securities	9.	Canada		0	0	0
(unaffiliated)	10.	Other Countries	0	0	0	0
,	11.	Totals	0	0	0	0
Parent, Subsidiaries and Affiliates	12.	Totals	0	0	0	0
	13.	Total Bonds	24,427,810	25,854,174	24,424,447	24,390,000
PREFERRED STOCKS	14.	United States	0	0	0	
Industrial and Miscellaneous	15.	Canada	0	0	0	
(unaffiliated)	16.	Other Countries	0	0	0	
	17.	Totals	0	0	0	
Parent, Subsidiaries and Affiliates	18.	Totals				
	19.	Total Preferred Stocks	0	0	0	
COMMON STOCKS	20.	United States	0	0	0	
Industrial and Miscellaneous	21.	Canada	0	0	0	
(unaffiliated)	22.	Other Countries	0	0	0	
	23.	Totals	0	0	0	
Parent, Subsidiaries and Affiliates	24.	Totals				
	25.	Total Common Stocks	0	0	0	
	26.	Total Stocks	0	0	0	
	27.	Total Bonds and Stocks	24,427,810	25,854,174	24,424,447	

### **SCHEDULE D - PART 1A - SECTION 1**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	Quality and iv	acunity Distribution (	3	ı December 31, at i	Book/Adjusted Carr 5	ying values by Majo	i ypes oi issues a	and NAIC Designation	ons 9	10	11
	į.	Over 1 Year	Over 5 Years	Over 10 Years			Col. 6 as a % of	Total from Col. 6	% From Col. 7	Total Publicly Traded	Total Privately
Quality Rating per the NAIC Designation	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	Total Current Year	Line 10.7	Prior Year	Prior Year	Traded	Placed (a)
1. U.S. Governments											
1.1 Class 1	0	23,477,201	0	0	0	23,477,201	94.4	23, 183,079	96.3	23,477,201	
1.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	
1.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	
1.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	
1.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	
1.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	
1.7 Totals	0	23,477,201	0	0	0	23,477,201	94.4	23, 183, 079	96.3	23,477,201	(
2. All Other Governments											
2.1 Class 1	0	0	0	0	0	0	0.0	0	0.0	0	
2.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	
2.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	
2.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	
2.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	
2.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	
2.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	
3. U.S. States, Territories and Possessions etc.,											
Guaranteed											
3.1 Class 1	0	0	0	0	0	0	0.0	0	0.0	0	
3.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	
3.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	
3.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	
3.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	
3.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	
3.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	
4. U.S. Political Subdivisions of States, Territories and											
Possessions , Guaranteed											
4.1 Class 1	0	0	0	0	0	0	0.0	0	0.0	0	
4.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	
4.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	
4.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	
4.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	
4.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	
4.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed											
5.1 Class 1	0	0	950,609	0	0	950,609	3.8	464,059	1.9	950,609	
5.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	
5.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	
5.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	
5.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	
5.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	
5.7 Totals	0	0	950,609	0	0	950,609	3.8	464,059	1.9	950,609	

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations											
	1	2	3	4	5	6	7	8	9	10	11
0 111 7 11 11 11 11 11 11		Over 1 Year	Over 5 Years	Over 10 Years	0 00 1/	T	Col. 6 as a % of	Total from Col. 6	% From Col. 7	Total Publicly	Total Privately
Quality Rating per the NAIC Designation	1 Year or Less	Through 5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Total Current Year	Line 10.7	Prior Year	Prior Year	Traded	Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)											
6.1 Class 1	437,529	0	0	0	0	437,529	1.8	419,171	1.7	437,529	0
6.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	0
6.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	0
6.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	0
6.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	0
6.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	0
6.7 Totals	437,529	0	0	0	0	437,529	1.8	419,171	1.7	437,529	0
7. Credit Tenant Loans											
7.1 Class 1	0	0	0	0	0	0	0.0	0	0.0	0	0
7.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	0
7.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	0
7.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	0
7.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	0
7.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	0
7.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0
8. Hybrid Securities		-		-	-			-		-	
8.1 Class 1	0	0	0	0	0	0	0.0	0	0.0	0	0
8.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	0
8.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	0
8.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	0
8.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	0
8.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	0
8.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0
Parent, Subsidiaries and Affiliates	•			•			0.0		0.0		
9.1 Class 1	0	0	0	0	0	0	0.0	0	0.0	0	0
9.2 Class 2		0	0	0	0	0	0.0	0	0.0	0	0
9.3 Class 3	 0	o	Λ			n	0.0		0.0		0
9.4 Class 4	 N	n	n	 n	 0	n	0.0	n .	0.0	 0	n
9.5 Class 5	٥	n	۸	n	٥	n	0.0	n	0.0	٥	n
9.6 Class 6	٠٠	o	۸		 n	o	0.0	0 n	0.0	 n	0
9.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	1
9.7 TOTAIS	0	0	0	U	0	0	0.0	U	0.0	0	0

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1	2	3	4	5	6	7	8	9	10	11
		Over 1 Year	Over 5 Years	Over 10 Years			Col. 6 as a % of	Total from Col. 6	% From Col. 7	Total Publicly	Total Privately
Quality Rating per the NAIC Designation	1 Year or Less	Through 5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Total Current Year	Line 10.7	Prior Year	Prior Year	Traded	Placed (a)
10. Total Bonds Current Year											
10.1 Class 1	(d)437,529	23,477,201	950,609	0	0	24,865,339	100.0	XXX	XXX	24,865,339	
10.2 Class 2	(d)0	0	0	0	0	0	0.0	XXX	XXX	0	
10.3 Class 3	(d)0	0	0	0	0	0	0.0	XXX	XXX	0	
10.4 Class 4	(d)0	0	0	0	0	0	0.0	XXX	XXX	0	
10.5 Class 5	(d)0	0	0	0	0	(c)0	0.0	XXX	XXX	0	
10.6 Class 6	(d) 0	0	0	0		(c) 0	0.0	XXX	XXX	0	
10.7 Totals	437,529	23,477,201	950,609	0	0	(b)24,865,339	100.0	XXX	XXX	24,865,339	
10.8 Line 10.7 as a % of Col. 6	1.8	94.4	3.8	0.0	0.0	100.0	XXX	XXX	XXX	100.0	0
11. Total Bonds Prior Year											
11.1 Class 1	2,301,796	21,300,454	464,059	0	0	XXX	XXX	24,066,309	100.0	24,066,309	
11.2 Class 2	0	0	0	0	0	XXX	XXX	0	0.0	0	
11.3 Class 3	0	0	0	0	0	XXX	XXX	0	0.0	0	
11.4 Class 4	0	0	0	0	0	XXX	XXX	0	0.0	0	
11.5 Class 5	0	0	0	0	0	XXX	XXX	(c)0	0.0	0	
11.6 Class 6		0	0	0	0	XXX	XXX	(c) 0	0.0	0	
11.7 Totals	2,301,796	21,300,454	464,059	0	0	XXX	XXX	(b)24,066,309	100.0	24,066,309	
11.8 Line 11.7 as a % of Col. 8	9.6	88.5	1.9	0.0	0.0	XXX	XXX	100.0	XXX	100.0	0
12. Total Publicly Traded Bonds											
12.1 Class 1	437.529	23.477.201	950.609	L0	0	24.865.339	100.0	24,066,309	100.0	24.865.339	XXX
12.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	XXX
12.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	XXX
12.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	XXX
12.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	XXX
12.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	XXX
12.7 Totals	437,529	23.477.201	950,609	0	0	24.865.339	100.0	24.066.309	100.0	24,865,339	XXX
12.8 Line 12.7 as a % of Col. 6	1.8	94.4	3.8	0.0	0.0	100.0	XXX	XXX	XXX	100.0	XXX
12.9 Line 12.7 as a % of Line 10.7, Col. 6,											
Section 10	1.8	94.4	3.8	0.0	0.0	100.0	XXX	XXX	XXX	100.0	XXX
13. Total Privately Placed Bonds											
13.1 Class 1	0	0	0	0	0	0	0.0	0	0.0	XXX	
13.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	XXX	
13.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	XXX	
13.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	XXX	
13.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	XXX	
13.6 Class 6		0	0	0	0	0	0.0	0	0.0	XXX	
13.7 Totals	0	0	0	0	0	0	0.0	0	0.0	XXX	
13.8 Line 13.7 as a % of Col. 6	0.0	0.0	0.0	0.0	0.0	0.0	XXX	XXX	XXX	XXX	0
13.9 Line 13.7 as a % of Line 10.7, Col. 6,											
Section 10	0.0	0.0	0.0	0.0	0.0	0.0	XXX	XXX	XXX	XXX	0

(a) includes \$	treely tradable u	nder SEC Rule 144 or qualified for resale und	der SEC Rule 144A.						
(b) Includes \$	0 current year, \$	0 prior year of bonds	with Z designations and \$	0 , current year \$	0	prior year of bonds with Z* de	esignations. The letter "Z" r	neans the NAIC designation	on was not
assigned b	y the Securities Valuation Office (SVO) at the	e date of the statement. " $Z^*$ " means the SV0	O could not evaluate the obligation	because valuation procedures for the	ne security class are under	r regulatory review.			
(c) Includes \$	0 current year, \$	0 prior year of bonds	with 5* designations and \$	0 , current year \$	0	prior year of bonds with 6* de	esignations. "5*" means the	NAIC designation was as	ssigned by th
(SVO) in re	eliance on the insurer's certification that the i	ssuer is current in all principal and interest pa	lyments. "6*" means the NAIC de	signation was assigned by the SVO	due to inadequate certifica	ation of principal and interest p	payments.		
(d) Includes th	e following amount of non-rated short-term a	and cash equivalent bonds by NAIC designation	on: NAIC 1 \$0	; NAIC 2 \$0 ; N.	AIC 3 \$0	; NAIC 4 \$	0; NAIC 5 \$	0; NAIC 6 \$	0

### **SCHEDULE D - PART 1A - SECTION 2**

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues												
	1	2	3	4	5	6	7	8	9	10	11	
Distribution by Type	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	Total Current Year	Col. 6 as a % of Line 10.7	Total from Col. 6 Prior Year	% From Col. 7 Prior Year	Total Publicly Traded	Total Privately Placed	
7 77	1 Year or Less	inrough 5 Years	inrough to Years	Inrough 20 Years	Over 20 Years	Total Current Year	Line 10.7	Year	Prior Year	Traded	Placed	
1. U.S. Governments	_	23,477,201	_	_	_	23,477,201	94.4	23 , 183 , 079	96.3	23,477,201	^	
1.1 Issuer Obligations	0	23,477,201	U	L	D	23,477,201	94.4		0.0	23,4//,201		
1.2 Single Class Mortgage-Backed /Asset Backed Securities		00 477 004	0	0	•	U				00 477 004	0	
1.7 Totals	0	23,477,201	0	Ü	0	23,477,201	94.4	23,183,079	96.3	23,477,201	0	
2. All Other Governments		_	_	_	_	_		_		_	_	
2.1 Issuer Obligations	0	0	0	0	0	0	0.0		0.0	0	0	
2.2 Single Class Mortgage-Backed /Asset Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0	
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:												
2.3 Defined	0	0	0	0	Ω	0	0.0	0	0.0	0	0	
2.4 Other	0	0	0	0	0	0	0.0	0	0.0	0	0	
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES:												
2.5 Defined	0	0	0	0	0	0	0.0		0.0	0	0	
2.6 Other		0	0	0	0	0	0.0	0	0.0	0	0	
2.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0	
U.S. States, Territories and Possessions, Guaranteed     3.1 Issuer Obligations	0	0	0	,	,	١	0.0	0	0.0	٥	0	
3.2 Single Class Mortgage-Backed /Asset Backed Securities	ν	0		0	0	Λ	0.0	0	0.0	Ω	ر ۱	
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:		u	ν		y	u		ν		ν	b	
3.3 Defined	٥	0	٥	0	0	0	0.0	0	0.0	٥	0	
3.4 Other	ν	ν	ν	ν	ν	ν	0.0		0.0	ν	ν	
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES:				u	J			v		v	v	
3.5 Defined	0	0	0	0	0	0	0.0	0	0.0	0	0	
3.6 Other	0	0	0	0	0	0	0.0		0.0	0	0	
3.7 Totals	0	0	0	0	0	0	0.0		0.0	0	0	
U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed			0	•		0	0.0		0.0			
4.1 Issuer Obligations	0	0	0	0	0	0	0.0	0	0.0	0	0	
4.2 Single Class Mortgage-Backed /Asset Backed Securities	0	0	٥	n	0	0	0.0		0.0	ر ا	ر ۱	
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:					y	u						
4.3 Defined	0	0	0	0	0	0	0.0	0	0.0	0	0	
4.4 Other		0	0	0	0	0	0.0	0	0.0	0	و ۱	
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES:				y							v	
4.5 Defined	0	0	0	0	0	0	0.0	0	0.0	0	0	
4.6 Other	0	0	0	0	0	0	0.0		0.0	0	0	
4.7 Totals	0	0	0	0	0	0	0.0		0.0	0	0	
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed	†	Ů			Ť		0.0		0.0	Ů		
5.1 Issuer Obligations	0	0	950,609	n	0	950,609	3.8	464,059	1.9	950,609	0	
5.2 Single Class Mortgage-Backed /Asset Backed Securities	n	n	n	n	n	n	0.0	n	0.0	n	n	
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:	····	J		l								
5.3 Defined	n	0	n	n	n	n	0.0	n	0.0	n	n	
5.4 Other	n	n	n	n	n	n	0.0	n l	0.0	n	ر ۱	
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES:	J										y	
5.5 Defined	0	0	0	0	0	0	0.0	0	0.0	0	0	
5.6 Other		0	0	0	0	0	0.0	0	0.0	0	0	
5.7 Totals	0	0	950,609	0	0	950,609	3.8		1.9	950,609	0	

SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues											
	1	2	3	4	5	6	7	8	9	10	11
		Over 1 Year	Over 5 Years	Over 10 Years				Total from Col. 6	% From Col. 7	Total Publicly	Total Privately
Distribution by Type	1 Year or Less	Through 5 Years	Through 10 Years	Through 20 Years	Over 20 Years	Total Current Year	Line 10.7	Prior Year	Prior Year	Traded	Placed
6. Industrial and Miscellaneous											
6.1 Issuer Obligations	437,529	0	0	0	0	437,529	1.8	419, 171	1.7	437,529	0
6.2 Single Class Mortgage-Backed /Asset Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:											
6.3 Defined	0	0	0	0	0	0	0.0	0	0.0	0	0
6.4 Other	0	0	0	0	0	0	0.0	0	0.0	0	0
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET- BACKED SECURITIES:											
6.5 Defined	0	0	0	0	0	0	0.0	0	0.0	0	0
6.6 Other	0	0	0	0	0	0	0.0	0	0.0	0	0
6.7 Totals	437,529	0	0	0	0	437,529	1.8	419, 171	1.7	437,529	0
7. Credit Tenant Loans											
7.1 Issuer Obligations	0	0	0	0	0	0	0.0	0	0.0	0	0
7.2 Single Class Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
7.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0
8. Hybrid Securities											-
8.1 Issuer Obligations	0	0	0	0	0	0	0.0	0	0.0	0	0
8.2 Single Class Mortgage-Backed /Asset Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:											
8.3 Defined	0	0	0	0	0	0	0.0	0	0.0	0	0
8.4 Other	0	0	0	0	0	0	0.0	0	0.0	0	0
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET- BACKED SECURITIES:											
8.5 Defined	0	0	0	0	0	0	0.0	0	0.0	0	0
8.6 Other	0	0	0	0	0	0	0.0	0	0.0	0	0
8.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0
9. Parent, Subsidiaries and Affiliates											
9.1 Issuer Obligations	0	0	0	0	0	0	0.0	0	0.0	0	0
9.2 Single Class Mortgage-Backed /Asset Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:											
9.3 Defined	0	0	0	0	0	0	0.0	0	0.0	0	0
9.4 Other	0	0	0	0	0	0	0.0	0	0.0	0	0
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET- BACKED SECURITIES:											
9.5 Defined	0	0	0	0	0	0	0.0	0	0.0	0	0
9.6 Other	0	0	0	0	0	0	0.0	0	0.0	0	0
9.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0

SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

	Maturity Distribution of		d December 31,	at book/Adjusted			i Subtype of isst				
	1	2	3	4	5	6	7	8	9	10	11
Distribution by Type	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	Total Current Year	Col. 6 as a % of Line 10.7	Total from Col. 6 Prior Year	% From Col. 7 Prior Year	Total Publicly Traded	Total Privately Placed
10. Total Bonds Current Year	I Year or Less	Through 5 Years	Through to Years	Through 20 Years	Over 20 Years	Total Current Year	Line 10.7	rear	Prior Year	Traded	Placed
10.1 Issuer Obligations	437,529	23,477,201	950,609	0	0	24,865,339	100.0	XXX	XXX	24,865,339	0
10.2 Single Class Mortgage-Backed /Asset Backed Securities	457,529	23,477,201	950,009	ν	ν	24,000,009	0.0		XXX	24,000,009	u
	u	u	u	νυ	J	ا لا		XXX	XXX		l
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:	0	0		0	0		0.0	1004	xxx	•	
10.3 Defined			u			J	0.0		XXX		J
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED	υ	U		U	υ	J		XXX	XXX		U
SECURITIES:			_	_	_					_	
10.5 Defined	0	0	0	0	0	0	0.0		XXX	0	0
10.6 Other	0	0	0	0	0	0	0.0		XXX	0	0
10.7 Totals	437,529	23,477,201	950,609	0	0	24,865,339	100.0		XXX	24,865,339	0
10.8 Line 10.7 as a % of Col. 6	1.8	94.4	3.8	0.0	0.0	100.0	XXX	XXX	XXX	100.0	0.0
11. Total Bonds Prior Year											
11.1 Issuer Obligations	2,301,796	21,300,454	464,059	0	0	XXX	XXX	24,066,309	100.0	24,066,309	0
11.2 Single Class Mortgage-Backed /Asset Backed Securities	0	0	0	0	0	XXX	XXX	0	0.0	0	0
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:											
11.3 Defined	0	0	0	0	0	XXX	XXX	0	0.0	0	0
11.4 Other	0	0	0	0	0	XXX	XXX	0	0.0	0	0
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES:											
11.5 Defined	0	0	0	0	0	XXX	XXX	. 0	0.0	0	0
11.6 Other	0	0	0	0	0	XXX	XXX	0	0.0	0	0
11.7 Totals	2,301,796	21,300,454	464,059	0	0	XXX	XXX	24,066,309	100.0	24,066,309	0
11.8 Line 11.7 as a % of Col. 8	9.6	88.5	1.9	0.0	0.0	XXX	XXX	100.0	XXX	100.0	0.0
12. Total Publicly Traded Bonds											
12.1 Issuer Obligations	437,529	23,477,201	950,609	0	0	_24,865,339	100.0	24,066,309	100.0	24,865,339	xxx
12.2 Single Class Mortgage-Backed /Asset Backed Securities	0	0	0	0	0	0	0.0		0.0	0	XXX
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:											
12.3 Defined	0	0	0	0	0	0	0.0	0	0.0	0	xxx
12.4 Other	0	0	0	0	0	0	0.0		0.0	0	XXX
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES:											
12.5 Defined	0	0	0	0	0	0	0.0	0	0.0	0	XXX
12.6 Other	0	0	0	0	0	0	0.0		0.0	0	XXX
12.7 Totals	437,529	23,477,201	950,609	0	0	24,865,339	100.0	24,066,309	100.0	24,865,339	XXX
12.8 Line 12.7 as a % of Col. 6	1.8	94.4	3.8	0.0	0.0	100.0	XXX	XXX	XXX	100.0	XXX
12.9 Line 12.7 as a % of Line 10.7, Col. 6, Section 10	1.8	94.4	3.8	0.0	0.0	100.0	XXX	XXX	XXX	100.0	XXX
13. Total Privately Placed Bonds											
13.1 Issuer Obligations	0	0	0	0	0	0	0.0	0	0.0	XXX	0
13.2 Single Class Mortgage-Backed /Asset Backed Securities	0	0	0	0	0	0	0.0		0.0	XXX	L
MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES:											
13.3 Defined	n	n	n	n	n	n	0.0	0	0.0	XXX	n
13.4 Other	0	0	n	0	0	n	0.0		0.0	XXX	0
MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES:											
13.5 Defined	0	0	0	0	0	0	0.0	0	0.0	XXX	0
13.6 Other	0	0	0	0	0	0	0.0	0	0.0		0
13.7 Totals	0	0	0	0	0	0	0.0	0	0.0	XXX	0
13.8 Line 13.7 as a % of Col. 6	0.0	0.0	0.0	0.0	0.0	0.0	XXX	XXX	XXX	XXX	0.0
13.9 Line 13.7 as a % of Line 10.7, Col. 6, Section 10	0.0	0.0	0.0	0.0	0.0	0.0	XXX	XXX	XXX	XXX	0.0

# **SCHEDULE DA - VERIFICATION BETWEEN YEARS**

Short-Term Investments

Snort-Term In	1	2	3	4 Other Short-term	5 Investments in Parent,
	Total	Bonds	Mortgage Loans	Investment Assets (a)	Subsidiaries and Affiliates
Book/adjusted carrying value, December 31 of prior year	419, 171	419, 171	0	0	0
Cost of short-term investments acquired	3,170,917	3,170,917	0	0	0
3. Accrual of discount	0	0	0	0	0
4. Unrealized valuation increase (decrease)	0	0	0	0	0
5. Total gain (loss) on disposals	0	0	0	0	0
6. Deduct consideration received on disposals	3, 152, 559	3,152,559	0	0	0
7. Deduct amortization of premium	0	0	0	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0	0	0	0
Deduct current year's other than temporary impairment recognized	0	0	0	0	0
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	437,529	437,529	0	0	0
11. Deduct total nonadmitted amounts	0	0	0	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	437,529	437,529	0	0	0

<sup>(</sup>a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

# Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards NONE

Schedule DB - Part B - Verification - Futures Contracts

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open NONE

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open NONE

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

NONE

Schedule E - Verification - Cash Equivalents
NONE

Schedule A - Part 1 - Real Estate Owned NONE

Schedule A - Part 2 - Real Estate Acquired and Additions Made  $\overline{N}$   $\overline{O}$   $\overline{N}$   $\overline{E}$ 

Schedule A - Part 3 - Real Estate Disposed NONE

Schedule B - Part 1 - Mortgage Loans Owned NONE

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid NONE

Schedule BA - Part 1 - Other Long-Term Invested Assets Owned  $\overline{\mathsf{NONE}}$ 

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made NONE

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  $N\ O\ N\ E$ 

# **SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

4	2	Cod	doo	6	7		Fair Value	10	11			usted Carrying	y Volue			le.	nterest		Da	ton
'	2	2 4	5	- 0	,	0	ali value	10	11	12	13	14	15	16	17	18	19	20	21	22
		3   4	3			0	9			12	13	14	Total	10	17	10	19	20	21	22
													Foreign							
		_										Current	Exchange							
												Year's	Change							
						Doto					Current	Other	in							
						Rate Used to			Book/	Unrealized	Year's	Than	Book/				Admitted			
						Obtain			Adjusted	Valuation	(Amor-		Adjusted		Effective		Amount	Amount		
CUSIP		0 1	Bond	NAIC	Actual	Fair	Fair	Par	Carrying	Increase/	tization)	Temporary Impairment	Carrying	Rate		When	Due and	Received		
Identification	Description	l u l y	Char	Des.	Cost	Value	Value	Value	Value	(Decrease)		Recognized	Value	of		Paid	Accrued	During Year	Acquired	Maturity
	Fannie Mae	6 11	1	1	2.171.168	99.0810	2,179,782	2,200,000	value 2,171,249	(Decrease)	ACCIEU011	necognized	v aiue	0.800	1.259		1,564	During Teal	12/27/2010	11/29/2013
	Fannie Mae			1		105.1220	336,390	2,200,000	323,654	0	(1, 182)	0	 0	2.875	2.471	.ID		9,200	12/2//2010	12/11/2013
	US Treasury Note			11			22.360.170	21.000.000	20.982.298	0	6.680	0	0	3.375	3.411	JD	1.958	708.750	06/26/2008	06/30/2013
	S. Governments - Issuer Obligations				23,463,199	XXX	24,876,342	23,520,000	23,477,201	0	5,579	0	0	XXX	XXX	XXX	4,033	717,950	XXX	XXX
	tal - U.S. Government Bonds				23,463,199	XXX	24,876,342	23,520,000	23,477,201	0	5,579	0	0	XXX		XXX	4,033	717.950	XXX	XXX
	tal - All Other Government Bonds				0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
	tal - U.S. States. Territories and Posse	essions Bonds	3		0	XXX	0	0	0	0	0	0	0	XXX		XXX	0	0	XXX	XXX
2499999. To	tal - U.S. Political Subdivisions Bonds				0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
60636W-KT-1	Missouri St Hwys & Trans Commn			1FE	495,020	116.4920	500,916	430,000	488,821	0	(6,200)	0	0	5.000	2.640	MN	3,583	21,500	04/01/2010	05/01/2017
	Nevada St Hwy Impt Rev			1FE	466,228	108.3900	476,916	440,000	461,788	0	(2,271)	0	0	5.000	4.257	JD	1,833	22,000	12/22/2008	12/01/2018
2599999. U.S	S. Special Revenues - Issuer Obligatio	ns			961,248	XXX	977,832	870,000	950,609	0	(8,471)	0	0	XXX	XXX	XXX	5,416	43,500	XXX	XXX
3199999. To	tal - U.S. Special Revenues Bonds				961,248	XXX	977,832	870,000	950,609	0	(8,471)	0	0	XXX	XXX	XXX	5,416	43,500	XXX	XXX
3899999. To	al - Industrial and Miscellaneous (Una	affiliated) Bond	ls		0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
4199999. To	al - Credit Tenant Loans				0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
4899999. To	al - Hybrid Securities				0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
5599999. To	al - Parent, Subsidiaries and Affiliates	Bonds			0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
7799999. To	al - Issuer Obligations				24,424,447	XXX	25,854,174	24,390,000	24,427,810	0	(2,892)	0	0	XXX	XXX	XXX	9,449	761,450	XXX	XXX
7899999. To	al - Single Class Mortgage-Backed/As	sset-Backed S	Securities		0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
	tal - Defined Multi-Class Residential M				0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
	tal - Other Multi-Class Residential Mor				0	XXX	0	0	0	0	0	0	0	XXX		XXX	0	0	XXX	XXX
	tal - Defined Multi-Class Commercial N				0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
	tal - Other Multi-Class Commercial Mo						-						-					-		
	curities	.5.45. = 2.0.10			0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
8399999 - To					24,424,447	XXX	25,854,174	24.390.000	24.427.810	0	(2.892)	0	0	XXX		XXX	9.449	761.450	XXX	XXX

# Schedule D - Part 2 - Section 1 - Preferred Stocks Owned $\stackrel{\textstyle N}{}$ $\stackrel{\textstyle O}{}$ $\stackrel{\textstyle N}{}$ $\stackrel{\textstyle E}{}$

Schedule D - Part 2 - Section 2 - Common Stocks Owned NONE

# **SCHEDULE D - PART 3**

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1 2	3	4	5	6	7	8	9
							Paid for Accrued
CUSIP		Date		Number of Shares			Interest and
Identification Description	Foreign	Acquired	Name of Vendor	of Stock	Actual Cost	Par Value	Dividends
31398A-6K-3 Fannie Mae		12/27/2010	J P Morgan		2,171,168	2,200,000	0
0399999. Bonds - U.S. Governments					2,171,168	2,200,000	0
60636W-KT-1 Missouri St Hwys & Trans Commn 5.000% 05/01/17		04/01/2010	Merrill Lynch		495,020	430,000	9,317
3199999. Bonds - U.S. Special Revenues					495,020	430,000	9,317
8399997. Total - Bonds - Part 3					2,666,188	2,630,000	9,317
8399998. Total - Bonds - Part 5							
8399999. Total - Bonds					2,666,188	2,630,000	9,317
8999997. Total - Preferred Stocks - Part 3					0	XXX	0
8999998. Total - Preferred Stocks - Part 5						XXX	
8999999. Total - Preferred Stocks					0	XXX	0
9799997. Total - Common Stocks - Part 3					0	XXX	0
9799998. Total - Common Stocks - Part 5						XXX	
9799999. Total - Common Stocks					0	XXX	0
9899999. Total - Preferred and Common Stocks					0	XXX	0
		-		ļ			
		-		<u> </u>			
		-					
9999999 - Totals		-			2,666,188	XXX	9,317

## **SCHEDULE D - PART 4**

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

					Showing All I	Long Term D	orius and ott		ILDELIVIED							1	1			
1	2	3	4	5	6	7	8	9	10				Carrying Value		16	17	18	19	20	21
										11	12	13	14	15						
														Total						
												Current	Total	Foreign					Bond	
												Year's	Change in	Exchange	Book/				Interest/	
									Prior Year		Current	Other	Book/	Change in	Adjusted	Foreign			Stock	
									Book/	Unrealized	Year's	Than	Adjusted	Book/	Carrying	Exchange			Dividends	
CUSIP					Number of				Adjusted	Valuation	(Amor-	Temporary	Carrying	Adjusted	Value at	Gain	Realized	Total Gain	Received	
Identi-		For-	Disposal	Name	Shares of	Con-			Carrying	Increase/	tization)/	Impairment	Value	Carrying	Disposal				During	Maturity
fication	Description	eian	Date	of Purchaser	Stock	sideration	Par Value	Actual Cost	Value	Decrease	Accretion	Recognized	(11+12-13)	Value	Date	Disposal	on Disposal		Year	Date
31398A-A8-5 F	annie Mae 2.125% 05/17/13		05/17/2010	Call 100.0000		1,450,000	1,450,000	1,451,160	1,450,886	0	(886		(886)		1,450,000	0	0	0	15,406	05/17/2013
	S Treasury Note 2.125% 04/30/10		04/30/2010	Maturity		432,000	432,000	430,448	431,739	0	261	0	261	0	432,000	0	0	0	9, 180	04/30/2010
	nds - U.S. Governments					1,882,000	1,882,000	1,881,608	1,882,625	0	(625	) 0	(625)	0	1,882,000	0	0	0	24,586	
	tal - Bonds - Part 4					1,882,000	1,882,000	1,881,608	1,882,625	0	(625	) 0	(625)	0	1,882,000	0	0	0	24,586	
	tal - Bonds - Part 5								0											XXX
8399999. To						1,882,000	1,882,000	1,881,608	1,882,625	0	(625	) 0	(625)	0	1,882,000	0	0	0	24,586	
8999997. To	tal - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
	tal - Preferred Stocks - Part 5						XXX													XXX
	tal - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799997. To	tal - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
	tal - Common Stocks - Part 5						XXX													XXX
	tal - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9899999. To	tal - Preferred and Common Stock	(S				0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
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9999999 - To	ntolo					4 000 000	XXX	4 004 000	4 000 005		/005		(005)		4 000 000				04 500	XXX
9999999 - 10	วเลเร					1,882,000	۸۸۸	1,881,608	1,882,625	0	(625	) 0	(625)	0	1,882,000	0	0	0	24,586	XXX

# Schedule D - Part 5 - Long Term Bonds and Stocks Acquired and Fully Disposed Of $\stackrel{\textstyle N}{}$ O $\stackrel{\textstyle N}{}$ E

Schedule D-Part 6-Section 1-Valuation of Shares of Subsidiary, Controlled or Affiliated Companies  $N\ O\ N\ E$ 

Schedule D - Part 6 - Section 2 NONE

## **SCHEDULE DA - PART 1**

Showing All SHORT-TERM INVESTMENTS Owned December 31 of Current Year

				31101	wing All Si		/I IIN V E O I IVIE	IN IS OWING	d Decembe		ieiii ieai								
1	2	Codes	5	6	7	8	Change	e in Book/Ad	justed Carryin	g Value	13	14			Inter	est			21
		3	ļ				9	10	11	12			15	16	17	18	19	20	
										Total									
									Current	Foreign			Amount Due						
								_	Year's	Exchange			and Accrued						
						5		Current	Other	Change in			Dec. 31 of						
CUSIP						Book/	Unrealized	Year's	Than	Book/			Current	Non-		F"		Amount	Date to
Identi-		l l F	or- Dat		Maturity	Adjusted	Valuation	(Amor-	Temporary	Adjusted			Year on	Admitted	D-4-	Effective	14/1	Received	Paid for
fication	Description	Code ei			Maturity Date	Carrying Value	(Decrease)	tization)/ Accretion	Impairment Recognized	Carrying Value	Par Value	Actual Cost	Bonds not in Default	Due and Accrued	Rate	Rate of	When Paid	During Year	Accrued Interest
	- U.S. Government Bonds	Code	gii   Acqui	ed Name of Vendor	Date	value	(Decrease)	Accretion	necognized	value	rai value	Actual Cost	III Delault	Accided	XXX	XXX	XXX	real	interest
	- All Other Government Bonds	da					0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	- U.S. States. Territories and		ndo				0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	- U.S. Political Subdivisions			seessions Bonds			0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	- U.S. Special Revenues Bo		ies and FC	ssessions bonus			0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	- Industrial and Miscellaneou		otod\				0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	- Credit Tenant Loans	us bolius (Olialili	aleu)				0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	- Hybrid Securities						0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	- Parent. Subsidiaries and A	Affiliatos Bonds					0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	- Issuer Obligations	illiates bolius					0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	- Single Class Mortgage-Bac	cked/Asset-Back	nd Securitie	8			0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	- Defined Multi-Class Reside						0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	- Other Multi-Class Resident						0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	- Defined Multi-Class Comm						0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	- Other Multi-Class Commer						0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8399999. Total		rolal Mortgago Be	01100/11000	Business Cocuminos			0 0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	- Parent, Subsidiaries and A	Affiliates					0 0	0	0	0	XXX	0	0	0	XXX	XXX	XXX	0	0
	fus Cash Management Admin		12/14/2	010 Direct	XXX	46.95	51 0	n	0	0	0	46.951	0	n	0.000	0.000	Mon	37	0
	fus Instl Cash Advantage			010 Direct	XXX	390.57			0	0	0	390,578	0	0	0.000	0.000	Mon	40	0
	one Money Market Mutual I	Funds				437,52	29 0	0	0	0	XXX	437,529	0	0	XXX	XXX	XXX	77	0
9199999 - Tota						437.52	29 0	0	0	0	XXX	437.529	0	0	XXX	XXX	XXX	77	0

# Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open NONE

Schedule DB - Part A - Section 2 - Options, Caps, Floors, Collars, Swaps and Forwards Terminated NONE

Schedule DB - Part B - Section 1 - Futures Contracts Open  $\overline{N}$   $\overline{O}$   $\overline{N}$   $\overline{E}$ 

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made NONE

Schedule DB - Part B - Section 2 - Futures Contracts Terminated  $\stackrel{\textstyle \bullet}{\mathsf{N}} \stackrel{\textstyle \bullet}{\mathsf{O}} \stackrel{\textstyle \bullet}{\mathsf{N}} \stackrel{\textstyle \bullet}{\mathsf{E}}$ 

Schedule DB - Part B - Section 2B - Brokers with whom cash deposits have been made  $N\ O\ N\ E$ 

Schedule DB - Part D - Counterparty Exposure for Derivative Instruments Open NONE

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  $\overline{N}$   $\overline{O}$   $\overline{N}$   $\overline{E}$ 

Schedule DL - Part 2 - Reinvested Collateral Assets Owned NONE

### **SCHEDULE E - PART 1 - CASH**

Bank of America Los Angeles, California (4,156,213) Lotion Planters Bank St. Louis, Missouri (199998 Deposits in depositories which do not exceed the allowable limit in any one depositories which do not exceed the allowable limit in any one depository (See instructions) - open depositories which do not exceed the allowable limit in any one depository (See instructions) - suspended depositories (XXX XXX 0 0 0 0 (4,125,102) (199998 Deposits in depositories which do not exceed the allowable limit in any one depository (See instructions) - suspended depositories (XXX XXX 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	<u> </u>	LDOLL L		1 1 - OASI	-		
Decombor   Decombor   September   Code   Received During   Accrued Decombor   September	1	2	3		5	6	7
Deposition of Largering (California State of California State of C					Amount of Interest		
Depository Depository (1997)  The of inference of Control Vear of Current Vear (1998)  The office of the control of the contro			Rate of		Accrued December 31		
Bits of Nerrica	Depository	Code	Interest			Balance	*
Internation   St. Louis   Vision	Los Angeles, Californ						XXX.
01 (19998B Deposits in depositories which do not exceed the allowable inrin in any one depositories entractions) - good separations (1999B Deposits in depositories which do not exceed the allowable limit in any one depository (Ste instructions) - suspended (1999B Depositions) - suspended (1999B) -	St Louis Missouri					31 111	XXX
allowable limit in any one depository (See instructions) - open  AXX	denositories which do not	exceed the					
deposition							
01999999   Totals   0-pen Depositories   Depositories which do not exceed the allowable limit in any one depositories which do not exceed the allowable limit in any one depository (See Instructions) - superied   XXX	one depository (See instructions) - oper	' vvv	VVV	0	0	0	XXX
Cases   Case	Diti		\\\\\				
allowable limit in any one depository (See Instructions) - suspended depositories	en Depositories		XXX	U	U	(4, 125, 102)	XXX
depositories	depositories which do not	exceed the					
0.999999   Totals - Suspended Depositiones   XXX   XXX   0   0   0   (4.15), 120	one depository (See instructions) - susp						
0399999   Cash in Company's Office   XXX							
0399999   Cash in Company's Office   XXX	spended Depositories	XXX	XXX	0	0	0	XXX
0499999 Cash in Company's Office	on Deposit	XXX	XXX	0	0	(4, 125, 102)	XXX
	mpany's Office		XXX	XXX	XXX		
	mpany o omoo	7001	7000	7001	7001		7000
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0599999 Total - Cash XXX XXX 0 0 (4.125.102)							
0599999 Total - Cash XXX XXX 0 0 0 (4,125,102)							
1   1   1   1   1   1   1   1   1   1		YVV	XXX	n	n	(// 105 100)	XYY
	ı		^^^	U	U	(4, 120, 102)	^^^

		TOTALS OF DEPO	OSITO	RY BALA	NCES ON THE LAS	T DAY	OF EACH N	IONTH DURING THE	E CURI	RENT YEAR
1.	January	452,363	4.	April	2,081,116	7.	July	5,305,706	10.	October(5,838,786
2.	February	1,645,913	5.	May	3,781,717	8.	August	(6,558,201)	11.	November(3,785,848
3.	March	2,665,813	6.	June	3,849,811	9.	September	(6,936,135)	12.	December (4, 125, 102

# SCHEDULE E - PART 2 - CASH EQUIVALENTS Show Investments Owned December 31 of Current Year

1 2 3 4 5 Book Agusted Description Code Date Acquired Rate of Interest Maturity Date Carrying Value Due and Accrused Due and Accused Due and Accrused Due and Accrused Due and Accused Due and A	Show Investments Owned December 31 of Current Year									
NONE	1	2	3	4		6 Book/Adjusted	Amount of Interest	Amount Received		
	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Carrying Value	Due and Accrued	During Year		
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S99999 - Total Cash Equivalents										
199999 - Total Cash Equivalents										
199999 - Total Cash Equivalents										
199999 - Total Cash Equivalents					<del> </del>					
599999 - Total Cash Equivalents					<del></del>					
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S99999 - Total Cash Equivalents		-			<del> </del>		<b> </b>			
S99999 - Total Cash Equivalents		.								
599999 - Total Cash Equivalents					†					
599999 - Total Cash Equivalents										
	8699999 - Total Cash Equivalents			P						

### **SCHEDULE E - PART 3 - SPECIAL DEPOSITS**

2. Alass 3. Arizu 4. Arka 5. Caliii 6. Colc 7. Con 8. Dela 9. Disti 10. Flori 11. Geo 12. Haw 13. Idah 14. Illinc 15. India 16. lowa 17. Kan 18. Ken 19. Loui 20. Mair 21. Mar 22. Mas 23. Mich 24. Minr 25. Miss 26. Miss 27. Mon 28. Neb 29. Nev 30. New 31. New 32. New 33. New 34. Nort 35. Nort 36. Ohic 37. Okla 38. Oreg 39. Pen 40. Rho 41. Sou 42. Sou 43. Teni	States, Etc.           abama         AL           aska         AK           zona         AZ           xansas         AR           lifornia         CA           clorado         CO           nnecticut         CT           dlaware         DE           strict of Columbia         DC           orida         FL           progia         GA           waii         HI           tho         ID           nois         JL           liana         IN           va         IA           nsas         KS           ntucky         KY           uisiana         LA           atrie         ME           aryland         MD           assachusetts         MA           chigan         MI           nnesota         MN	B	HM0	Benefit of All 3 Book/Adjusted Carrying Value	Fair Value 0476,916	All Other Spe 5 Book/Adjusted Carrying Value	Fair Value
2. Alass 3. Arizu 4. Arka 5. Caliii 6. Colc 7. Con 8. Dela 9. Disti 10. Flori 11. Geo 12. Haw 13. Idah 14. Illinc 15. India 16. lowa 17. Kan 18. Ken 19. Loui 20. Mair 21. Man 22. Mas 23. Mich 24. Minr 25. Miss 27. Mon 28. Neb 29. Nev 30. New 31. New 32. New 33. New 34. Nort 35. Nort 36. Ohic 37. Okla 38. Oreg 39. Pen 40. Rho 41. Sou 42. Sou 43. Teni	abama         AL           aska         AK           zona         AZ           kansas         AR           lifornia         CA           lorado         CO           innecticut         CT           laware         DE           strict of Columbia         DC           orida         FL           iorgia         GA           waii         HI           iho         ID           nois         JL           iliana         IN           va         JA           nsas         KS           ntucky         KY           uisiana         LA           inine         ME           uryland         MD           assachusetts         MA           chigan         MI           nnesota         MN	B. B.	HMO	Carrying Value	0		Fair Value
2. Alass 3. Arizu 4. Arka 5. Caliii 6. Colc 7. Con 8. Dela 9. Disti 10. Flori 11. Geo 12. Haw 13. Idah 14. Illinc 15. India 16. lowa 17. Kan 18. Ken 19. Loui 20. Mair 21. Man 22. Mas 23. Mich 24. Minr 25. Miss 27. Mon 28. Neb 29. New 30. New 31. New 32. New 33. New 34. Nort 35. Nort 36. Ohic 37. Okla 38. Oreg 39. Pen 40. Rho 41. Sou 42. Sou 43. Teni	abama         AL           aska         AK           zona         AZ           kansas         AR           lifornia         CA           lorado         CO           innecticut         CT           laware         DE           strict of Columbia         DC           orida         FL           iorgia         GA           waii         HI           iho         ID           nois         JL           iliana         IN           va         JA           nsas         KS           ntucky         KY           uisiana         LA           inine         ME           uryland         MD           assachusetts         MA           chigan         MI           nnesota         MN	B.	HMO		0		
3. Ariza 4. Arka 5. Caliii 6. Cold 7. Con 8. Dela 9. Disti 10. Flori 11. Geo 12. Haw 13. Idah 14. Illino 15. India 16. Iowa 17. Kan 19. Loui 20. Mair 21. Man 22. Mas 23. Mich 24. Minr 25. Miss 27. Mon 28. Neb 29. New 30. New 31. New 32. New 33. New 34. Nort 35. Nort 36. Ohid 37. Okla 38. Oreg 39. Pen 40. Rho 41. Sou 42. Sou 43. Teni	zona         AZ           kansas         AR           lifornia         CA           lorado         CO           nnecticut         CT           laware         DE           strict of Columbia         DC           orida         FL           erogia         GA           waii         HI           tho         ID           nois         JL           liana         IN           va         JA           nsas         KS           ntucky         KY           uisiana         LA           tine         ME           tryland         MD           ussachusetts         MA           chigan         MI           nnesota         MN	B	HMO		476,916		
4. Arka 5. Calii 6. Colc 7. Con 8. Dela 9. Disti 10. Flori 11. Geo 12. Haw 13. Idah 14. Illino 15. India 16. Iowa 17. Kan 18. Ken 19. Loui 20. Mair 21. Man 22. Mas 23. Mich 24. Minr 25. Miss 27. Mon 28. New 30. New 31. New 31. New 32. New 33. New 34. Nort 35. Nort 36. Ohic 37. Okla 38. Oreg 39. Pen 40. Rho 41. Sou 43. Teni 44. Sou 43. Teni 45. Cold 46. Cold 47. Sou 48. Cold 48. Sou 48. Teni 49. Sou 41. Sou 42. Sou 43. Teni 40. Teni 41. Sou 43. Teni 44. Sou 44. Sou 44. Sou 44. Sou 44. Sou 44. Teni 45. Sou 46. Teni 47. Sou 48. Teni 48. Teni 49. Sou 40. Teni 40. Teni 41. Sou 41. Sou 42. Sou 43. Teni 44. Teni 45. Teni 46. Colc 47. Colc 48. Colc 48. Teni 49. Colc 49. Teni 40. Teni 40. Teni 40. Teni 41. Sou 42. Sou 43. Teni 44. Teni 45. Teni 46. Colc 47. Colc 48. Teni 48. Colc 48. Teni 48. Colc 48.	kansas         AR           Alifornia         CA           Alorado         CO           Annecticut         CT           Alaware         DE           Astrict of Columbia         DC           Arida         FL           Brorgia         GA           Awaii         HI           Aho         ID           Anois         JL           Aliana         IN           Va         JA           Ansas         KS           Antucky         KY           uisiana         LA           Atine         ME           uryland         MD           assachusetts         MA           chigan         MI           nnesota         MN		HMO				
5. Calii 6. Colc 7. Con 8. Dela 9. Disti 10. Flori 11. Geo 12. Haw 13. Idah 14. Illinc 15. India 16. Iowa 17. Kan 18. Ken 19. Loui 20. Mair 21. Mar 22. Mas 23. Mich 24. Minr 25. Miss 27. Mon 28. New 30. New 31. New 31. New 32. New 33. New 34. Nort 35. Nort 36. Ohic 37. Okla 38. Oreg 39. Pen 40. Rho 41. Sou 42. Sou 43. Teni	Ilifornia         CA           Ilorado         CO           Innecticut         CT           Ilaware         DE           Istrict of Columbia         DC           Iorida         FL           Iorogia         GA           Iwaii         HI           Isho         ID           Inois         IL           Iliana         IN           IVa         IA           Insas         KS           Intucky         KY           Iuisiana         LA           Inine         ME           Inryland         MD           Issachusetts         MA           Innesota         MN		HMO				
6. Cold 7. Con 8. Dela 9. Distr 10. Flori 11. Geo 12. Haw 13. Idah 14. Illind 15. India 16. Iowa 17. Kan 18. Ken 19. Loui 20. Mair 21. Mar 22. Mas 23. Mich 24. Minr 25. Miss 26. Miss 27. Mon 28. New 30. New 31. New 32. New 33. New 34. Nort 35. Nort 36. Ohid 37. Okla 38. Oreg 39. Pen 40. Rho 41. Sou 42. Sou 43. Teni	Ilorado		HMO	323,654	336,390		
7. Con 8. Dela 9. Distr 10. Flori 11. Geo 12. Haw 13. Idah 14. Illinc 15. India 16. Iowa 17. Kan 18. Ken 19. Loui 20. Mair 21. Mar 22. Mas 23. Mich 24. Minr 25. Miss 27. Mon 28. New 30. New 31. New 32. New 33. New 34. Nort 35. Nort 36. Ohic 37. Okla 38. Oreg 39. Pen 40. Rho 41. Sou 42. Sou 43. Teni	Innecticut         CT           Ilaware         DE           Istrict of Columbia         DC           Irida         FL           Iorgia         GA           waii         HI           Inho         ID           Iois         IL           Iliana         IN           va         IA           nsas         KS           ntucky         KY           uisiana         LA           tine         ME           uryland         MD           ussachusetts         MA           chigan         MI           nnesota         MN		HMO		336,390		
8. Dela 9. District 10. Flori 11. Geo 12. Haw 13. Idah 14. Illino 15. India 16. Iowa 17. Kan 18. Ken 19. Loui 20. Mair 21. Mar 22. Mas 23. Mich 25. Miss 27. Mon 28. New 30. New 31. New 32. New 31. New 32. New 33. New 34. Nort 35. Nort 36. Ohica 37. Okla 37. Okla 38. Oreg 39. Pen 40. Rho 41. Sou 42. Sou 43. Teni	Ilaware         DE           Istrict of Columbia         DC           Istrict of Columbia         DC           Irida         FL           Isorgia         GA           waii         HI           Isho         ID           Isorgia         IN           Isorgia         IN           Isorgia         IN           Isorgia         IN           Isorgia         ISOrgia           Isorgia         ISOrgia		HMO	323,654	336,390		
10. Flori 11. Geo 12. Haw 13. Idah 14. Illino 15. India 16. Iowa 17. Kan 18. Ken 19. Loui 20. Mair 21. Mar 22. Mas 23. Mich 24. Minr 25. Miss 26. Miss 27. Mon 28. New 30. New 31. New 32. New 33. New 34. Nort 35. Nort 36. Ohic 37. Okla 38. Oreg 39. Pen 40. Rho 41. Sou 42. Sou 43. Teni	brida         FL           borgia         GA           waii         HI           uho         ID           nois         JL           diana         IN           va         IA           nsas         KS           ntucky         KY           uisiana         LA           atine         ME           uryland         MD           ussachusetts         MA           chigan         MI           nnesota         MN	B.	HMO	323,654	336,390		
11. Geo 12. Haw 13. Idah 14. Illinc 15. India 16. Iowa 17. Kan 18. Ken 19. Loui 20. Mair 21. Mar 22. Mas 23. Mich 24. Minr 25. Miss 27. Mon 28. Neb 29. Nev 30. New 31. New 32. New 33. New 34. Nort 35. Nort 36. Ohic 37. Okla 38. Oreg 39. Pen 40. Rho 41. Sou 42. Sou 43. Teni	sorgia         GA           waii         HI           who         ID           nois         JL           liana         IN           va         JA           nsas         KS           ntucky         KY           uisiana         LA           nine         ME           uryland         MD           ussachusetts         MA           chigan         MI           nnesota         MN	B.	HMO	323,654			
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